



Supplier Pre-Screening Questionnaire

Attention: Interested Supplier

NorthRiver Midstream Inc. (NRM) is pleased to provide you with the following supplier pre-screening questionnaire. As a company that has expressed interest in working with NRM, the SCM team felt it was important to provide a tool to help us better understand your level of readiness to qualify for work with NRM and our major contractors. The purpose of this document is also to help NRM learn more about your company, the goods and/or services you can offer, and your competencies and capacities.

If you are interested in participating in Operations and/or Projects, please answer all the prescribed / applicable questions in the questionnaire below.

NRM will review the answers to the questionnaire and the supporting documents and return to you a Pre-Screen Feedback Form that will help you better understand any gaps your company may have in readiness for qualification.

This Pre-Screening questionnaire consists of the following sections:

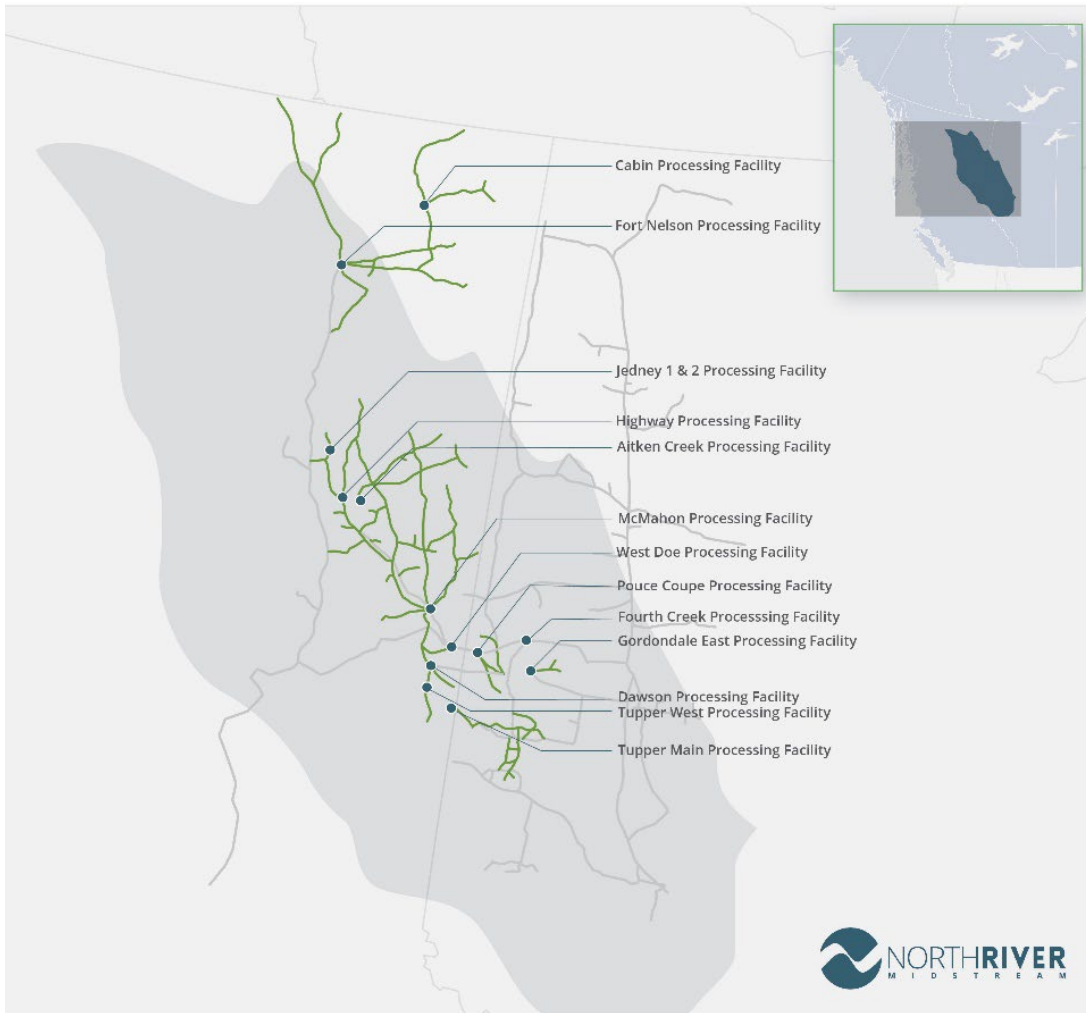
- Part A – Goods and/or Services**
- Part B – General**
- Part C – Environmental, Health and Safety Information**
- Part D – References**
- Part E – Supplier Conduct & Ethics**
- Part F – Attachments (requested)**

Please submit copies of any brochures, inclusive of environmental, health and safety plans and documentation, and any other electronic documents that supports your responses. Please review the checklist on final page of this questionnaire before completing your submission.

Issuance of this questionnaire and the receipt of your response does not constitute a commitment on behalf of NorthRiver Midstream. However, it will ensure that your company, if qualified, will be added to our NRM Work specific supplier database. Based on the nature of the goods or services, in order to be supplied, further screening and/or pre-qualification may be required.

About NorthRiver Midstream Inc:

A brief overview of the NRM business unit is provided below:



The system includes:

13 natural gas processing facilities, 3.5 Bcf/d of gross capacity and 3,500 kms of large diameter, gathering pipelines which serve the Montney, Peace River Arch, Horn River and Liard basins in British Columbia and Alberta.

Please forward your replies to SCM@NRM.ca

Part A - Goods and/or Services

A1. What is your principle line of work? _____

A2. What type(s) of work do you usually have others perform for you as a Sub-supplier / Sub-contractor?

A3. Check the boxes below that best describes the goods and/or services your company provides (check all that apply):

Group A:

- | | | | |
|--|---|---|--|
| <input type="checkbox"/> Archeological Survey | <input type="checkbox"/> Delivery Services (office services) | <input type="checkbox"/> Lawn/Grounds Maintenance | <input type="checkbox"/> Painting (buildings) |
| <input type="checkbox"/> Audio/Visual Services | <input type="checkbox"/> Engineering / Design Services (desktop) | <input type="checkbox"/> Leak Surveying | <input type="checkbox"/> Plumbing |
| <input type="checkbox"/> Cabling Work (office/IT) | <input type="checkbox"/> Equipment Rental / Lease (off-site maint.) | <input type="checkbox"/> Low Voltage Instrumentation | <input type="checkbox"/> Right-of-Way Maintenance (mowing) |
| <input type="checkbox"/> Camp / Catering Services (temporary facilities) | <input type="checkbox"/> Fencing | <input type="checkbox"/> Manufacturing & Supply (skid mats / swamp mats) | <input type="checkbox"/> Sand & Gravel Supply |
| <input type="checkbox"/> Carpeting/Tiling | <input type="checkbox"/> General Computer Service | <input type="checkbox"/> Material Supply | <input type="checkbox"/> Security Services, General Site |
| <input type="checkbox"/> Computer Work | <input type="checkbox"/> Geological / Agricultural Survey | <input type="checkbox"/> New Construction Survey | <input type="checkbox"/> Snow removal (Within 10' of pressurized facilities) |
| <input type="checkbox"/> Consulting (EHS, Inspection ...) | <input type="checkbox"/> Inspections (offsite) | <input type="checkbox"/> Non-Hazardous Waste /Material Treatment & Disposal | <input type="checkbox"/> Soil Sampling (by hand) |
| <input type="checkbox"/> Consulting (office based) | <input type="checkbox"/> Inspections (onsite) | <input type="checkbox"/> Odorant Survey | <input type="checkbox"/> Third Party Personr (Staffing Agency) |
| <input type="checkbox"/> Cathode Protection Surveys | <input type="checkbox"/> Janitorial / Window Washing (ground) | <input type="checkbox"/> Office Equipment Services | <input type="checkbox"/> Unarmed Security |
| | <input type="checkbox"/> Land Survey | | <input type="checkbox"/> Water/Air/Other |

- Cultural / Enviro Monitoring
- Landscaping
- Decorating/ Painting
- Office Trailer Rental
- Sampling/Laboratories

Group B:

- Air Compressor Services
- First Aid Services
- Insecticide Application
- Roofing (Over 3 meters in height)
- Anode Installation (no excavation)
- Fabrication (on site)
- Instrumentation and Controls
- Right-of-Way (Clearing / Cutting / Maint.)
- Boiler Service
- Fire Protection Equipment Services
- Intelligent (Smart) Piggig
- Sandblasting
- Cabling work (field installation)
- Gas Evacuation
- Lead Abatement
- Snow Removal (Within 10' of pressurized facilities)
- Camp / Catering Services (permanent)
- General Carpentry/Renovation
- Line Locating
- Soil Sampling (power equipment)
- Camera / Borescope Inspection
- Ground Surface Restoration
- Meter Proving
- Spill/ Emergency Response
- Cathodic Protection
- Hauling / Transportation
- Meter Reading
- Switch Gear Maintenance
- Chemical Cleaning
- Hazardous Waste / PCB or Product Transportation
- Non-Trade Work
- Torqueing / Tensioning
- Civil/Dirt Work
- Heat Treating/Stress Relieving (Pressure Welding)
- NDE/Radiography
- Trade Work (deactivated lines & equipment)
- Concrete Work
- Herbicide Application
- Overhead Crane/Hoist Maintenance
- Traffic Control
- Consulting (field hands on)
- High Pressure Chemical Cleaning
- Painting/Coating Application (piping)
- Tree Maintenance
- Crew Transportation
- Hot Shots (expediting services)
- Paving/Resurfacing
- Turbine/Compressor/ Engine Services

Group C:

- | | | |
|--|--|---|
| <input type="checkbox"/> Trades work at active plants, compressor stations, pipes with active lines or vessels | <input type="checkbox"/> New construction | <input type="checkbox"/> Asbestos abatement, i.e. remediate the entire building/structure |
| <input type="checkbox"/> Active site plant turnarounds | <input type="checkbox"/> Cranes - 100 tons and over | <input type="checkbox"/> Hazardous waste removal (radioactive) |
| <input type="checkbox"/> Aerial Pipeline Crossing Construction | <input type="checkbox"/> Core Samples | <input type="checkbox"/> HDD River Crossings |
| <input type="checkbox"/> Security, Threat Response | <input type="checkbox"/> Anode Installation (including excavation) | <input type="checkbox"/> Crane under 100 tons |
| <input type="checkbox"/> Shoring Work | <input type="checkbox"/> Crane over 100 tons | <input type="checkbox"/> Hot Tap Services |
| <input type="checkbox"/> Stopples Services | <input type="checkbox"/> In-service Casing Install/Removal/Clearing | <input type="checkbox"/> Large Equipment Installation/Repair |
| <input type="checkbox"/> Structure Demolition | <input type="checkbox"/> Asbestos Abatement | <input type="checkbox"/> Crane Services/Rigging |
| <input type="checkbox"/> Tank/Equipment Cleanout | <input type="checkbox"/> Aviation Services | <input type="checkbox"/> Cryogenics |
| <input type="checkbox"/> Trade Work at active plants | <input type="checkbox"/> Mechanical (Millwright, Pipe Fitter) | <input type="checkbox"/> Diving |
| <input type="checkbox"/> Turnaround Maintenance | <input type="checkbox"/> Offshore Surveys | <input type="checkbox"/> Electrical Work |
| <input type="checkbox"/> Unit Overhaul | <input type="checkbox"/> Pipeline/Plant Construction | <input type="checkbox"/> Emissions Testing |
| <input type="checkbox"/> Welding (on live Gas Facilities) | <input type="checkbox"/> Pipeline Rehabilitation | <input type="checkbox"/> Excavation (near underground pipe/wires) |
| <input type="checkbox"/> Window Washing (higher than 3 mtrs.) | <input type="checkbox"/> Road Construction | <input type="checkbox"/> Gas Well Services |
| <input type="checkbox"/> Right-of-Way (Logging/Clearing) | <input type="checkbox"/> Roofing | <input type="checkbox"/> Communication Tower Work |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Hazardous Waste/PCB Material (Treatment & Disposal) | <input type="checkbox"/> Construction |

OTHER Oil & Gas related Goods and/or Services (not listed above):

Part B – General Information

IDENTIFICATION

B1. Supplier Name: _____

_____	_____	_____
Website Address	Telephone Number	Fax Number
_____	_____	_____
Street Address	Town, Province	Postal Code
_____	_____	_____
Mailing Address	Town, Province	Postal Code

B2. Number of years in business under present name: _____

If different, total years _____ and months _____ in operation (under all names).

B3. Form of Business: Sole Proprietor Partnership Corporation
 L.L.C. L.P. Other

If other: _____

Province of Registration: _____

GST Registration #: _____

Does your company have an Indigenous affiliation or alliance? If so please describe.

Indigenous Community: _____

B4. List the principals / officers in your company:

<u>Name</u>	<u>Position</u>

B5. Parent Company Name (if applicable): _____

B6. List Associated Subsidiaries/Affiliates or Field Offices (if applicable): _____

B7. Supplier Contact Person: _____
 Title: _____

 Telephone Number Cell Number Email

HISTORY / BACKGROUND

B8. Total number of employees: _____

B9. Personnel Specification / Profile:

FIELD SUPERVISION AND ADMINISTRATION (IF APPLICABLE)

<u>CLASSIFICATION</u>	<u>QUANTITY</u>	<u>CLASSIFICATION</u>	<u>QUANTITY</u>
General Superintendent	_____	Material Supervisors	_____
Administrators	_____	Expeditors	_____
Accountants	_____	Field Engineers	_____
Schedulers	_____	Quantity Surveyors	_____
Cost Engineers	_____	HS&E Professional	_____
Other (s)	_____	Other (s)	_____

B10. List specialized facilities and/or equipment used or available to help provide the good or service (if applicable):

B11. Union Affiliation:

- Union Non-Union Both

Union Name: _____

B12. TRADE ASSOCIATIONS

Is Company member of a Trade Association? Yes No

If yes, specify _____

B13. Inclusive / Diversity Practices:

Does your company have a diversity and inclusion policy? Yes No

Does your company have an Indigenous hiring policy?
(i.e. for hiring employees, sub-suppliers and/or training and development)

Does your company currently employ local (i.e. within 500km of Fort Saint John, BC) and/or
Indigenous employees or suppliers?

B14. Project Size (\$ Value)

- Largest project ever successfully completed by company was: CAN \$_____
- Preferred contract/project size: CAN \$_____
- Preferred "maximum" contract/project size: CAN \$_____

B15. Is your company now, or has it ever been, involved in a bankruptcy or reorganization proceeding?

(If yes, please attach details.)

B16. Has the company ever cancelled a contract before completion of the work? (If yes, please attach details.)

B17. Do you have judgments, claims or suits pending / outstanding against the company?

(If yes, please attach details.)

INSURANCE

B18. Name of current insurance carrier(s) (must comply with normal industry Cdn. Insurance Standards (A-7)):

<u>Carrier</u>	<u>Policy Number</u>	<u>Expiry Date</u> (YYYY/MM/DD)

Note: For Questions B19-B20, refer to the check boxes in Part A. Answer only those that apply.

B19. State aggregate insurance limits for any boxes checked in goods and services **Group A** (see Part A):

- General Liability \$ _____
- Auto Liability \$ _____
- Professional (E&O) Liability \$ _____

B20. State aggregate insurance limits for any boxes checked in goods and services **Group B** (see Part A):

- General Liability \$ _____
- Auto Liability \$ _____
- Excess Liability \$ _____
- Professional (E&O) Liability \$ _____
- Environmental Impairment Liability \$ _____
- Aviation or Watercraft Liability \$ _____

B21. State aggregate insurance limits for any boxes checked in goods and services **Group C** (see Part A):

All Builders Risk	\$ _____
General Liability	\$ _____
Auto Liability	\$ _____
Excess Liability	\$ _____
Professional (E&O) Liability	\$ _____
Environmental Impairment Liability	\$ _____
Aviation or Watercraft Liability	\$ _____

B22. Workers' Compensation Insurance:

Indicate which provincial WCB coverage that your company carries:

British Columbia Workers' Compensation Insurance

Insurance Rate Discount or Surcharge Discount _____ (%) Surcharge _____ (%)

Compensation Rate \$ _____

Alberta Workers' Compensation Insurance

Insurance Rate Discount or Surcharge Discount _____ (%) Surcharge _____ (%)

Workers' Compensation Rate \$ _____

QUALITY

B23. Do you have a quality management program? Yes No

B24. Do you maintain an approved manufacturing list? Yes No

ASSURANCE (Performance Guarantees for Critical Project Work Only)

B25. Letter of Credit

If applicable, is your company willing to provide a Letter of Credit of financial responsibility for any work awarded to the company? Yes or No

B26. Parental Guarantee

If applicable, is your parent company willing to provide a parental guarantee of performance responsibility for any work awarded to the company? Yes or No

B27. If applicable, name of current Bonding company and agency: _____

What is your current bond capacity:

Single Contract: \$: _____ (CND)

Aggregate Line: \$: _____ (CND)

Part C – Environmental, Health & Safety Information (EHS)

C1. EHS Procedures

Does your company have written Environmental, Health and Safety procedures? **Yes** **No**

C2. Does your company have a Certificate of Recognition? **Yes** **No**

C3. Is your company already a subscriber to ISNetworld? **Yes** **No**

(If yes, please provide your ISN subscription number #.) _____



PART D – References

List up to four (3) projects you have completed within the past thirty-six (36) months.
 (Under description, please include information about the work your company performed on the project that will assist us in determining your qualifications in regard to the specific work your company performs. Use additional sheets if necessary)

1	\$ Amount of Contract	Completion Date	Name of Owner & Project Name	Name of Owner's Representative, Title, City/Town, Telephone Number, Email
	Description:			
	Was work completed on time and on budget:			
	List any fines or violations:			
Project Manager:				
2	\$ Amount of Contract	Completion Date	Name of Owner & Project Name	Name of Owner's Representative, Title, City/Town, Telephone Number, Email
	Description:			
	Was work completed on time and on budget:			
	List any fines or violations:			
Project Manager:				
3	\$ Amount of Contract	Completion Date	Name of Owner & Project Name	Name of Owner's Representative, Title, City/Town, Telephone Number, Email
	Description:			
	Was work completed on time and on budget:			
	List any fines or violations:			
Project Manager:				

Part E – Supplier Conduct & Ethics

- Does supplier have its own written policies covering the above business conduct and ethics? (If yes, please provide with this pre-screen.) Yes No

- Has supplier ever been found to be in violation by any court or governmental authority of any applicable anti-corruption and anti-bribery laws, including but not limited to the US Foreign Corrupt Practices Act ("FCPA") and the UK Bribery Act (2010) ("UKBA 2010"), as amended? (If yes, supplier to provide explanation with this pre-screen.) Yes No

- Is supplier under any investigation by any court or governmental authority with respect to alleged violations of any applicable anti-corruption and anti-bribery laws, including but not limited to the US Foreign Corrupt Practices Act ("FCPA") and the UK Bribery Act (2010) ("UKBA 2010"), as amended? (If yes, supplier to provide explanation with this pre-screen.) Yes No

I declare that the information provided is true and correct to the best of my knowledge:

Authorized Signature

Date

Print Name

Title

Part F – Attachments

PLEASE ATTACH THE FOLLOWING WITH THIS FORM

- Information package on your company.....
- Copies of insurance certificates for all applicable, to the work, insurance policies:
- Commercial General Liability.....
- Automobile Liability.....
- Employers Liability.....
- Professional Liability (Errors and Omissions)
- Aircraft & Watercraft Liability.....
- Provincial Workers' Compensation Coverage.....
- Letter of good standing or clearance letter for Workers' Compensation.....